



Regional Initiative Grant Arts Project Support (APS)

FY2017 Region 5 Guidelines

GRANT PERIOD:

July 1st, 2016 – June 30th, 2017

PROGRAM DATES:

Application due:

March 3, 2016 4:30 p.m. EST –

see timeline inside

Panel review:

April 29, 2016

Final report due:

July 14, 2017 4:30 p.m. (EDT)

WORKSHOP:

January 22, 2016 2:00 p.m. (EST)

January 25, 2016 10:00 a.m. (EST)

MATCH REQUIREMENT 1:1



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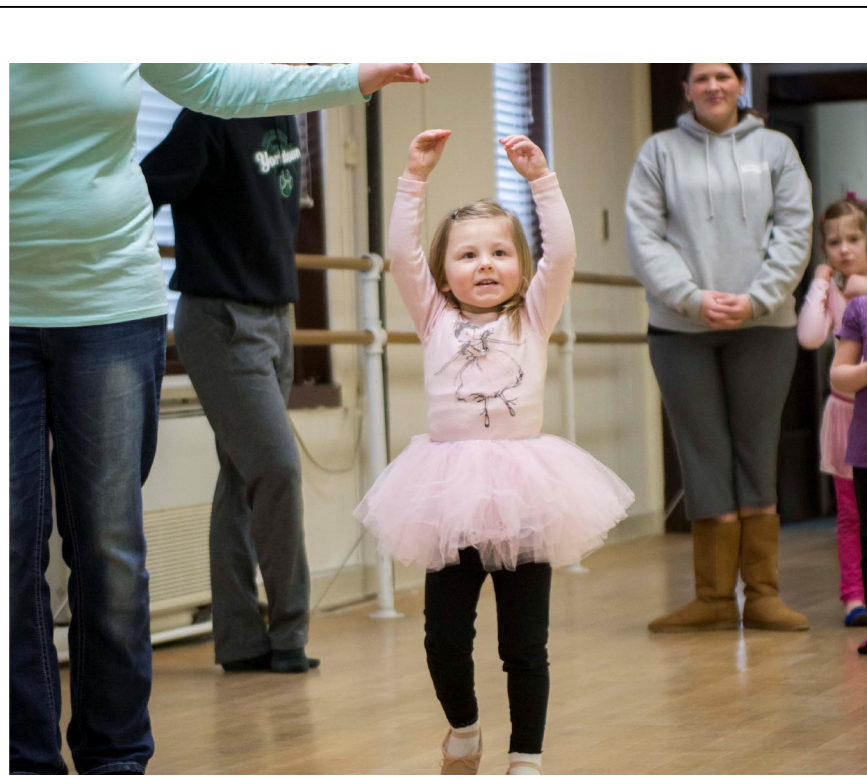


Photo Courtesy Cornerstone Center for the Arts

The Regional Initiative Grant (RIG) APS application must be completed in the [IAC's online grant system](#) for applicants in Region 5 [Blackford, Delaware, Fayette, Grant, Henry, Jay, Madison, Randolph, Rush, Unin and Wayne Counties]. Internet links in this document provide further clarification and instruction. **Please read linked information thoroughly.** These guidelines will be made available upon request to meet special needs.



The Indiana General Assembly, Indiana Arts Trust, and the National Endowment for the Arts fund this program.

ABOUT THE INDIANA ARTS COMMISSION

On behalf of the people of Indiana, the [Indiana Arts Commission](#) (IAC) advocates engagement with the arts to enrich the quality of individual and community life. The IAC encourages the presence of the arts in communities of all sizes while promoting artistic quality and expression. The IAC advocates arts development opportunities across the state, and stewards effective use of public and private resources for the arts. It stimulates public interest in, and participation with, Indiana's diverse arts resources and cultural heritage. [The IAC works to enhance public awareness of the arts, life-long learning opportunities, and arts education programs](#). Governed by a [15-member board of gubernatorial appointees](#), the IAC serves all citizens and regions of the state.

REGIONAL ARTS PARTNERSHIP

Established in 1997 and working in collaboration with the IAC, the [Regional Arts Partnership \(RAP\)](#) exists to promote and expand arts participation in Indiana. The RAP structure provides local access to arts services and funding opportunities throughout the State of Indiana. Services include, but are not limited to, information and referral, technical assistance, and regranting of state and federal funds through the [Regional Initiative Grant Program \(RIG\)](#). The RIG program was developed to help provide Indiana citizens access to quality arts and cultural activities, with special attention to underserved communities. RIG programs include Arts Project Support (APS) and Mini grants, Arts Operating Support I (AOS I), and Arts Operating Support II (AOS II).

ABOUT THE ARTS PROJECT SUPPORT (APS) PROGRAM

Description

For FY2017, July 1, 2016 – June 30, 2017, RIG Arts Project Support (APS) grants provide funding to Indiana arts and non-arts organizations* to support a distinct aspect of the organization's arts activities, such as a one-time event; a single production; an exhibition; an educational seminar; or series of related arts activities, such as art classes or training sessions.

** Non-arts organizations do not have the arts as their primary mission. They include pre-K, elementary, secondary, schools, and, in some cases colleges and universities; senior centers; parks and recreation departments; civic and community service organizations; professional associations; public libraries; public broadcast stations; health and human service agencies; and other public agencies and private nonprofit tax-exempt community based organizations.*

Objectives

To provide the general public access to quality arts and cultural activities, with special attention to underserved communities. "Underserved communities" include people lacking access to arts programs, services, or resources due to isolated geographic location, low income, age, race/ethnicity, cultural differences, disability, or other circumstances.

Grant Period

July 1, 2016 through June 30, 2017

Grant Program Timeline

March 3, 2016 by 4:30 PM (EST)	Application due
April 29, 2016	Grant review panel
Early July 2016	Award notification
Sept-Oct 2016	First payment – 75%
July 14, 2017 by 4:30 PM (EST)	Final grant report (FGR)
Sept-Oct 2017	Second payment – 25%

Grant Amount

APS applicants may request up to \$5,000 or up to 50% of allowable project expenses, whichever is less. Applicants may request no less than \$500. This Region's average grant in this category for FY2016 was \$4,565.

Matching Requirement

All applicants must match IAC funds on a dollar-for-dollar basis; match may be a combination of cash and [in-kind](#) (value of necessary donated goods and services); and at least 50% of the local match must be cash. **The total project budget must be at least twice the amount requested.**

Guidelines

It is essential to understand ALL of the guideline requirements. Organizations must be able and willing to fulfill every requirement listed to receive a grant.. Failure to do so may be deemed a breach of contract with the State of Indiana and can result in application rejection, delay in payment, and/or fund rescindment and required grant repayment.

ELIGIBILITY

Applicant requirements and restrictions:

- Must be a private, nonprofit, tax-exempt agency, 501(c)(3) status from the Internal Revenue Service (IRS) OR an Indiana public entity (part of city, county, or state government);
- Nonprofit corporations that are based in another state must be registered in Indiana as a Foreign Corporation, have an Indiana address, and provide all IAC-funded arts activities in Indiana.
- An eligible tax-exempt organization may act as a fiscal sponsor/agent for an organization that has not secured their federal tax-exempt status. Please contact your Regional Arts Partner representative (the contact in these guidelines) if you will be using or acting as a fiscal agent for an application.
- Must be incorporated in the State of Indiana at the time of application;
- Must have an address in the Region to which applicant is applying;
- Must not have any outstanding Final Grant Reports due to the Indiana Arts Commission or the Regional Arts Partner; and,
- Must have a governing body that is representative of the organization's service area.

PROGRAM EXPENSE GUIDELINES

Acceptable program expenses:

Salaries; administrative fees; artistic fees; staff development and training; space and equipment rental; promotional costs; and production costs, supplies, etc. needed to support the project activities.

Unacceptable program expenses:

- Cash reserves; deficit reduction, or deficit elimination;
- Events in private dwellings or other locations not open to the general public;
- Consumable supplies and materials not directly related to the project;
- Capital acquisitions (purchase of artwork, etc.); capital expenditures; equipment, restoration, or new construction of buildings;
- Travel outside the United States;
- Indirect costs or underwriting for ongoing residencies or curricular programs in degree-granting colleges and universities;
- Projects to be delivered outside the state of Indiana;
- Project expenses outside the grant period;
- Activities that are solely for the purpose of fundraising;
- Private functions, religious services, lobbying activities, or any non-public activity; and
- Cost of receptions, food or beverages.

APPLICATION REVIEW CRITERIA

Application deadline for this Region is March 3, 2016 4:30 PM (EST). After receipt of completed application, the Regional Arts Partner will review your materials to ensure that all required information was submitted. If any discrepancies are found (such as incomplete answers or uploads), your application may be ineligible for review.

Each eligible application will be reviewed and scored by a conflict-free panel of professional peers. The panel review will take place in the April 29, 2016 and will be open to the public for observation and may be recorded. Applicants are invited and encouraged to attend to hear feedback. The quality of the recorded session is not guaranteed. Applicants are invited as observers only and cannot lobby or address the panel on behalf of their applications before, during or after the panel meeting. The panel meeting and location will be posted on the RAP website and/or [IAC website](#).

Organizations that have a total of 75 or over will be eligible for funding. Evaluation criteria details can be found on the [IAC website](#). Information submitted in the application will be reviewed according to the following evaluation criteria:

- **Administration: 30 Points** - Extent to which applicant organization demonstrates ability to successfully design and implement the project (effective planning, financial responsibility, leadership)
- **Project Quality: 30 Points** - Extent to which the applicant demonstrates a high quality artistic project

- **Outreach/Community Impact: 40 Points** - Extent to which project reaches underserved audiences and provides meaningful arts experiences for the target audiences Do we really look at underserved first? If not, I'd suggest flipping the order.

GRANT AWARD DETERMINATION

Following the panel meeting, a Commission-approved funding formula is applied that takes into consideration the panel's ratings, number of eligible applicants, and available funds to determine specific grant recommendations. The Indiana Arts Commission will review and ratify the funding recommendations at its June quarterly business meeting. Commission meetings are open to the public for observation and may be recorded. All applicants will be notified after the Commission ratifies the grant recommendations.

STATE/PROGRAM GRANTEE REQUIREMENTS

Grant recipients must be able to meet the following program requirements to maintain good standing, grant compliance, and proper use of awarded funds with the state.

- **DUNS Number:** All applicants must have a DUNS number to complete an application. A DUNS number is a unique nine-digit sequence recognized as the universal standard for identifying and keeping track of businesses. Call or use the following link to apply for a free DUNS number at: <http://fedgov.dnb.com/webform>.
- **Four Public Official Letters to the governor and legislators:** All grantees are required to mail or email letters directly to their public official(s). One of the required letters must be to the governor. Grantees can find their representatives by clicking here (organizations serving multiple counties can include legislators in the areas served.) Letter examples may be found here:

Letter documentation is required as follows:

- **First two letters: Submit copies with Contract**
Thank public official(s) for making public funds available, and raise awareness about the upcoming funded activity(ies). Take the opportunity to share information about you, your project, and/or organization and invite them to an upcoming activity or event.
- **Second two letters: Submit copies with Final Grant Report**
Write at the end of the grant period/project. Thank public official(s) for making public funds available for the arts and describe the impact of those dollars on their community and state.
- **Grant modification (if change is necessary):** Grant recipients are required to submit a Grant Modification when one or more of the following apply:
 - A form has been requested by the Program Manager (the contact on the first and last page of these guidelines). This can occur at any time during the grant cycle.
 - The total budget income or expense will deviate by 20% or more.
 - The grantee anticipates significant changes to who, what, where, when, or how.
 Contact the Program Manager prior to submitting a [Grant Modification](#) form. Grantees may be required to return grant money to the IAC if this procedure is not followed.
- **Acknowledgements & Recognition:** Grant recipients must credit funding support by including Regional Arts Partner (RAP), IAC, and NEA logos OR written/verbal acknowledgement on all grant-related promotional materials. For general credit information, consult the IAC [website](#). Region specific Acknowledgement Guidelines are

located here: <http://www.randolphcountyfoundation.org/index.html>. If you need special assistance, contact the Director of Marketing and Communications by phone at 317.232.1273 or by email at rvanzant@iac.in.gov.

- **Final report:** All grantees must submit a completed Final Report due July 14, 2017 at 4:30 PM (EST).

The Final Report is accessible through the [IAC online grants system](#). For grant recipients that do not submit a report by the deadline, the following will result:

- For reports up to one week late, an automatic 15% will be deducted from the grantee's total grant award;
 - For reports later than one week and up to two weeks late, the entire final grant payment will be rescinded and;
 - After two weeks, the grantee's full grant will be rescinded, and the grantee will be ineligible to receive funds for the next cycle of any IAC grant opportunity.
- **Monitoring/records retention and tax responsibilities:** Grantees must provide access to any books, records, documents, and papers pertaining to the grant for purposes of program or financial review by the IAC or its partner agents. Adequate records need to be maintained to substantiate financial and program information reported to the IAC for a period of no fewer than three years. Grantees are responsible for all tax obligations under [federal, state, and local laws](#). Consult a tax accountant or local Internal Revenue Service office. Grant monies cannot be used to pay taxes.
 - **Public manifestation, fair labor, drug free, and civil rights:** The signed Grant Agreement indicates that the organization and its representative understand the detailed information provided at this [link](#) and are in compliance with these laws.
 - **E-Verify Memorandum of Understanding (SEA 590):** [Senate Enrolled Act 590 \(SEA 590\)](#), signed into law by Governor Mitch Daniels in May 2011, stresses that State agencies cannot award a grant of more than \$1,000 to a grantee unless the grantee documents enrollment and participation in the E-Verify program, affirming that a grantee does not knowingly employ an unauthorized alien. An explanation of the legislation can be found [here](#). Your organization must comply with this legislation upon acceptance of your grant or you risk losing the grant and rescinding of any funds paid. You can find more information at the [E-Verify website](#).

PAYMENT SCHEDULE

All payments are contingent upon adherence to all grant guidelines and reporting requirements. The first grant payment will be for 75% of the total award. The second and final grant payment will be 25% of the total award. It takes approximately three months from the time the correctly completed forms are submitted until the recipient receives the payment. If the grantee fails to comply with the contract, reporting, logo, or the letter to elected officials requirement, the IAC may rescind the entire grant amount and this may jeopardize future grants from the Indiana Arts Commission.

RECONSIDERATION POLICY AND APPEAL PROCESS

The reconsideration process is designed to review the method and fairness of the IAC decision concerning a grant application. This process is not intended to impose a different panel's choices/judgment over the original panel's decision. Dissatisfaction with the denial of a grant or

the amount of an award is not sufficient reason for an appeal. For more information refer to the website at: <http://www.in.gov/arts/2680.htm>.

SUBMISSION INSTRUCTION/RESTRICTIONS

How to apply

All applicants and grant recipients are required to create and update the profile information in the [online IAC grants system](#). The profile information must contain the current, primary contact's email address. The RAP/IAC staff cannot provide advanced technical help for computer or software-related problems.

For more information on how to use the IAC online system refer to the IAC website link: <http://www.in.gov/arts/onlineapplications&reports.htm>. It is strongly recommended that applicants begin an online application before the due date to handle any unforeseen technical problems.. Late applications will NOT be accepted.

Artistic documentation

Artistic documentation is not accepted, optional or required.

Submission confirmation

Successful submissions will be accompanied by a confirmation email. If a confirmation email was not received, the application was NOT correctly submitted. Only submit the electronic copy, a "physical" copy is no longer required or accepted. An online submission indicates agreement with, and adherence to, this entire document, including its embedded website links.

Accessibility

Grant applicants are required to assure that all arts programs, services, and activities made possible with Indiana Arts Commission funding and all facilities in which such programs, services, and activities are held (whether owned, leased, or donated to the Applicant) will be accessible to people with special needs, in accordance with Section 504 of the Rehabilitation Act of 1973 and the Americans with Disabilities Act of 1990 OR will provide readily achievable reasonable accommodation as warranted. The Accessibility Self-Assessment Checklist guide can be found at the following link: http://www.in.gov/arts/files/Accessibility_Checklist.pdf.

Applicant Restrictions

Only one application is allowed per year, per organization or 501(c)(3) for a grant in any of the following IAC grant categories: Arts Project Support, Arts Operating Support I, Arts Operating Support II, Arts Operating Support III. If special funding opportunities arise, some deviation from this rule may be permitted on a case-by-case basis at the discretion of the IAC and the funding source. Public entities, chapters/affiliates, and fiscal agent relationship will be handled on a case-by-case basis.

The submitted application and electronic signature is the organization's indication that its representatives have read, understand, and will complete and comply with the requirements listed for this grant program – from application to final reporting if applicable.

FOR MORE INFORMATION

For questions concerning the Region 5 RIG APS FY17 program and application development please contact the regional Program Manager below:

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